

Docket Number: 008312-0309001

PATENT APPLICATION

Client Reference: T4HW-03S1617

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

SUSUMU HISATOMI

Group Art Unit: UNKNOWN

Application No.: TO BE ASSIGNED

Examiner: UNKNOWN

Filed: March 30, 2004

Confirmation No.: UNKNOWN

For: OPTICAL HEAD APPARATUS, OPTICAL HEAD TRANSFERRING METHOD AND OPTICAL DISK APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Mail Stop Patent Application
P. O. Box 1450
Alexandria, VA 22313-1450

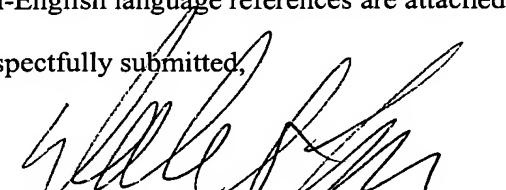
Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the references has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

Relevance of the non-English language references are discussed in the present specification and/or in the English-language Abstracts of the non-English language references are attached hereto.

Respectfully submitted,



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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	UNKNOWN
		Filing Date	03/30/2004
		First Named Inventor	SUSUMU HISATOMI
		Art Unit	UNKNOWN
		Examiner Name	UNKNOWN
<i>(Use as many sheets as necessary)</i>		Attorney Docket Number	008312-0309001
Sheet	1	of	1

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (If known)				
	1	JP 2002-216442	08/02/2002	KATO	Abstract	
	2	JP 11-353824	12/24/1999	AOYAMA	Abstract	
	3	JP 7-93920	04/07/1995	KUME	Abstract	
	4	JP 7-122004	05/12/1995	IKARI	Abstract	
	5	JP 7-147018	06/06/1995	IKEGAME	Abstract	

Examiner Signature		Date Considered	
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.² Applicant's unique citation designation number (optional).³ See Kinds of USPTO Patent Documents at www.uspto.gov or MPEP 901.4. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Information Sheet for preparing an Information Disclosure Statement under Rule 1.56

Suzuye Ref.03S1617

Foreign Patent Documents

Document No.: **2002-216442**, published **August 2, 2002**

Country: **Japan**

Copy of reference: **attached**

Language: **non-English**

English translation: **not attached for it is not readily available**

Concise Explanation of Pertinency: **This publication is referred in the specification. See page 2, line 20.**

Foreign Patent Documents

Document No.: **11- 353824**, published **December 24, 1999**

Country: **Japan**

Copy of reference: **attached**

Language: **non-English**

English translation: **not attached for it is not readily available**

Concise Explanation of Pertinency: **This publication is referred in the specification. See page 2, line 26.**

Foreign Patent Documents

Document No.: **7-93920**, published **April 7, 1995**

Country: **Japan**

Copy of reference: **attached**

Language: **non-English**

English translation: **not attached for it is not readily available**

Concise Explanation of Pertinency: **This publication is referred in the specification. See page 3, line 10.**

Foreign Patent Documents

Document No.: **7-122004**, published **May 12, 1995**

Country: **Japan**

Copy of reference: **attached**

Language: **non-English**

English translation: **not attached for it is not readily available**

Concise Explanation of Pertinency: **This publication is referred in the specification. See page 3, line 16**

Foreign Patent Documents

Document No.: **7-147018** published **June 6, 1995**

Country: **Japan**

Copy of reference: **attached**

Language: **non-English**

English translation: **not attached for it is not readily available**

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